

Free Brokerage Account Security Checklist

This checklist provides essential steps to enhance the security of your brokerage account. How many of these need attention?

Account Access & Authentication

- ☐ Use **Strong, Unique Passwords** for each brokerage account.
- ☐ Utilize a **Password Manager** to generate and store passwords securely.
- ☐ Enable **Multi-Factor Authentication (MFA)** (hardware keys or authenticator apps preferred).
- ☐ Enable **Biometric Authentication** (fingerprint/facial recognition) where available.

Device & Connection Security

- ☐ Use a **Secure Internet Connection** (avoid public Wi-Fi, use a VPN).
- ☐ Install and Regularly Update **Trusted Security Software** (antivirus, anti-malware, firewall).
- ☐ Keep your **Operating System and all Software Patched** and up-to-date.
- ☐ (Optional) Consider using a **Dedicated Device** solely for financial transactions.

Account Monitoring & Management

- ☐ **Regularly Monitor Account Activity** and set up transaction/login alerts.
- ☐ **Limit Account Access** (share credentials only with trusted individuals, use view-only access if possible).
- ☐ Utilize **Account Restrictions** (withdrawal limits, transaction types) if offered by your broker.
- ☐ Ensure your account is linked to **Secure Backup Recovery Methods** (email, phone).
- ☐ **Update Passwords Periodically**.

Communication & Data Protection

- ☐ Use **Strong Encryption for Sensitive Communication**.
- ☐ **Backup Critical Information Securely** (password manager, encrypted cloud

storage).

- ☐ Be **Cautious of Phishing Scams** and always verify requests. We have implementations to minimise these to a high degree.

High networth individuals & Long-Term Measures

- ☐ (Optional) Create **Separate Email Accounts** dedicated to banking/finance.
- ☐ (Optional) Use **Separate Phone Devices/Lines** for brokerage activities.
- ☐ (Optional) Get **Biometric Devices** (e.g., fingerprint readers) for login.
- ☐ (Optional) Implement a **Credit Bureau Freeze** and manage unfreezing carefully.
- ☐ (Optional) **Diversify Assets** across multiple brokers to reduce the "blast radius".

These are very high level tasks to secure your financial accounts. This is especially important for high net worth individuals. For in depth analysis call us for a free consultation.

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